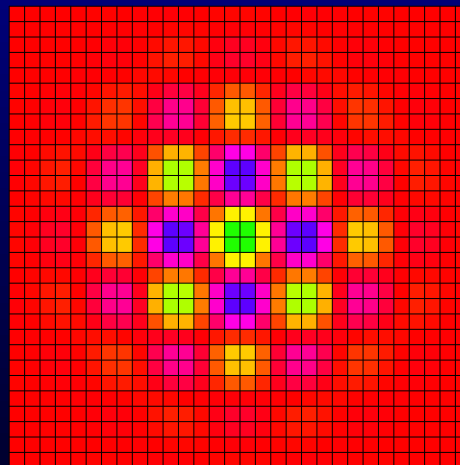


From “Schmutzphysik” to “More is Different”: a perspective on the modern condensed matter physics

M. Franz

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January 10, 2004

Wolfgang E. Pauli:
“Festkörperphysik ist eine *Schmutzphysik*.”



“Condensed matter physics is physics of dirt.”

Philip W. Anderson:
“More is different!”

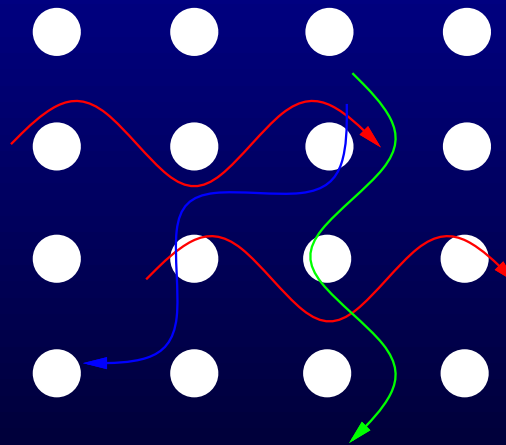


Collective phenomena in condensed matter systems.

Schmutzphysik “theory of everything”

$$H_{CM} = \sum_{i=1}^N \frac{\mathbf{p}_i^2}{2m_i} + \sum_{i<j} \frac{q_i q_j}{|\mathbf{r}_i - \mathbf{r}_j|}$$

Electrons + ions interacting via Coulomb forces.



The problem is with $N \approx 10^{23}$ particles in every cm^3 of matter.

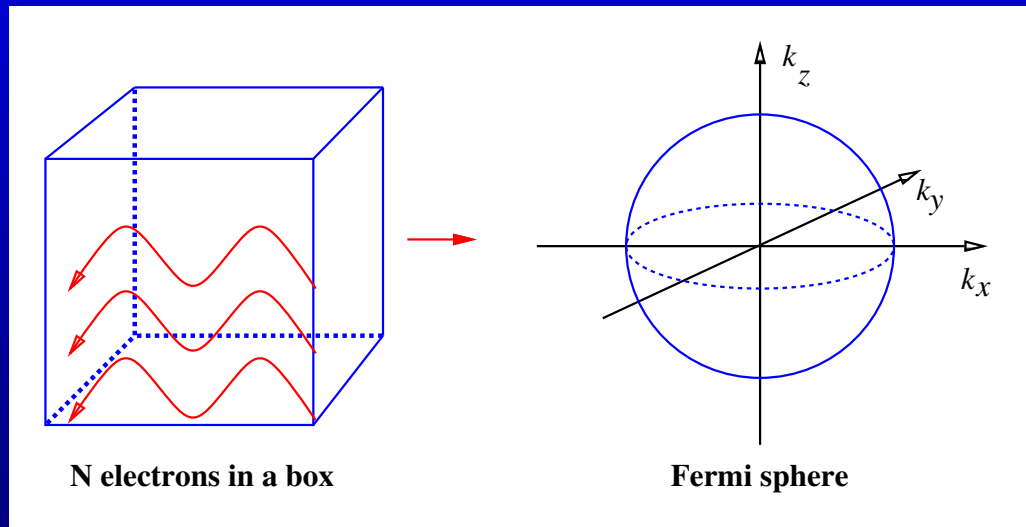
Landau's Fermi liquid paradigm



Lev Davidovich Landau:

“Electron states in solids are adiabatically connectible to the states of noninteracting electron gas”

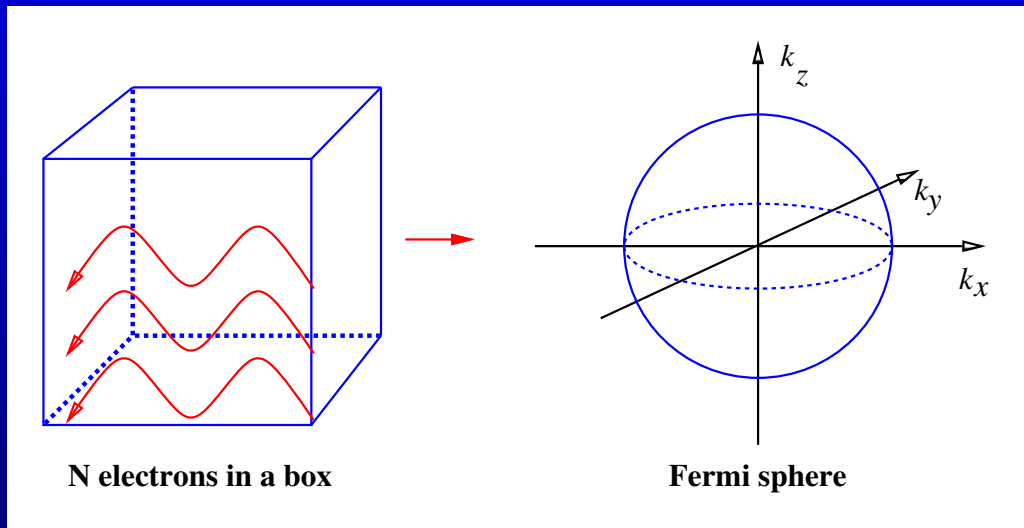
Despite enormous Coulomb forces ($U_C \sim \frac{e^2}{a_0} \sim 1 - 10\text{eV}$) at low energies most metals behave like a **free electron gas** [Landau, 1957]



$$\epsilon(\mathbf{k}) = \frac{\hbar^2 \mathbf{k}^2}{2m^*}, \quad k_F = (3\pi^2 n)^{1/3}$$

Ground state ($T = 0$): all levels below Fermi momentum k_F are filled; levels above k_F empty.

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Ground state ($T = 0$): all levels below Fermi momentum k_F are filled; levels above k_F empty.

Root cause: **Pauli exclusion principle**

→ **phase space for scattering near FS is severely limited.**

Structure of electron propagator in FL

$$G(\mathbf{k}, \omega) = \frac{1}{\omega - \epsilon_{\mathbf{k}} - \Sigma(\mathbf{k}, \omega)} = \frac{z_{\mathbf{k}}}{\omega - E_{\mathbf{k}} + i\Gamma_{\mathbf{k}}} + G_{\text{incoh}}(\mathbf{k}, \omega)$$

with

$$z_{\mathbf{k}}^{-1} = \left[1 - \frac{\partial \text{Re}\Sigma}{\partial \omega}\right]_{\omega=E_{\mathbf{k}}}, \text{ "quasiparticle weight"}$$

$$\tau^{-1} \equiv \Gamma_{\mathbf{k}} \sim (E_{\mathbf{k}} - E_F)^2, \text{ "quasiparticle lifetime"}$$

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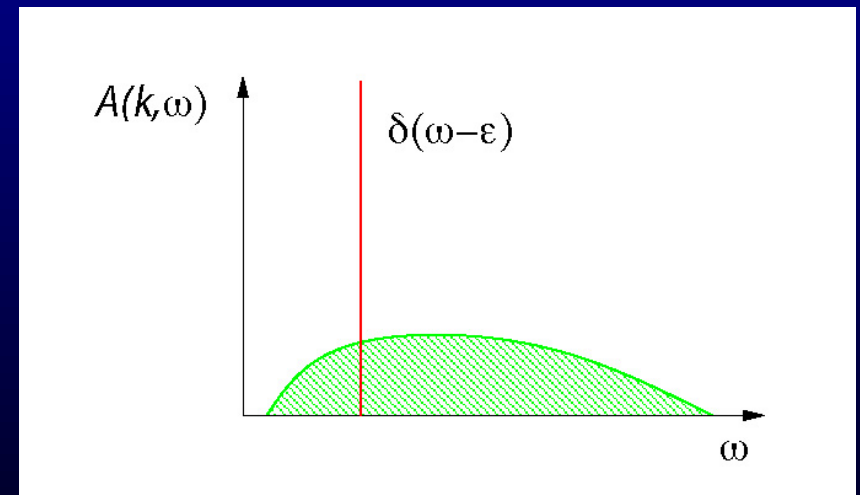
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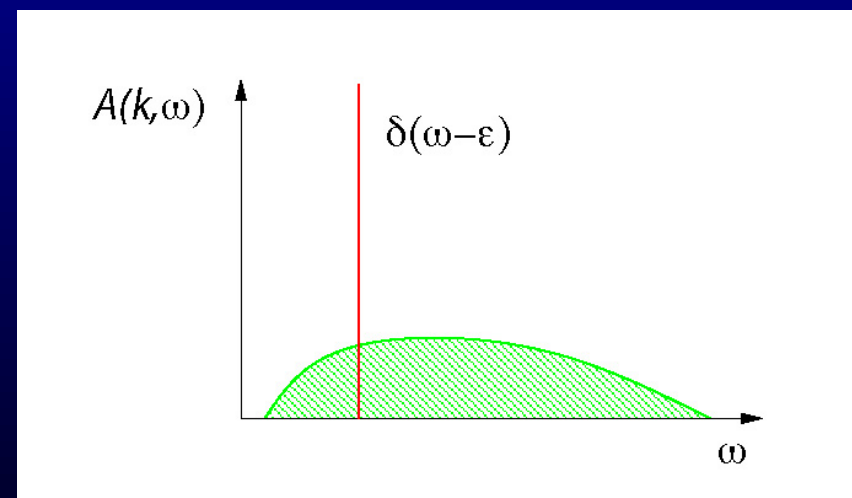
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Electron remains a sharp excitation at FS.

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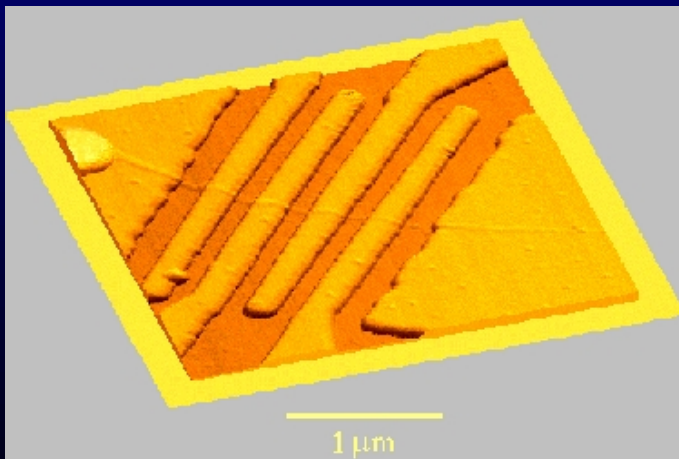
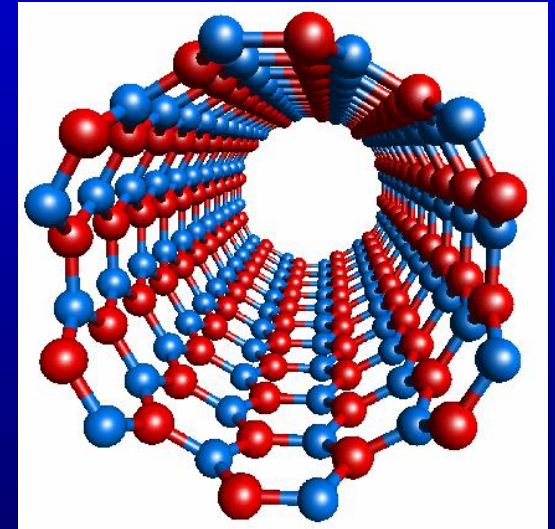
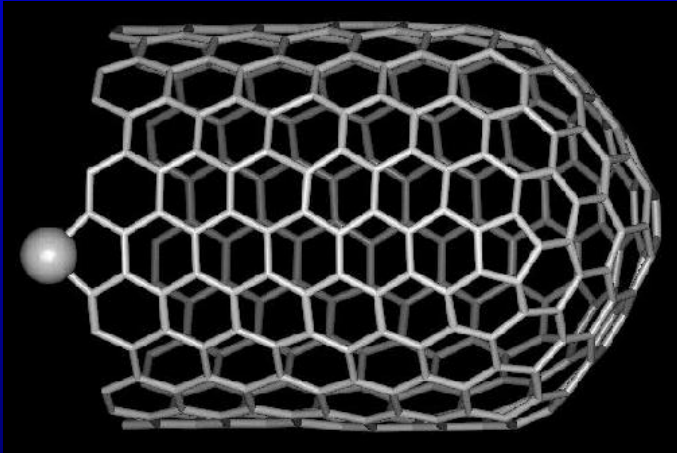
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- Systems near Quantum Criticality

Exceptions to FL paradigm

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- Quantum Hall Fluids
- Systems near Quantum Criticality
- High- T_c Cuprate Superconductors (?)

1D interacting systems

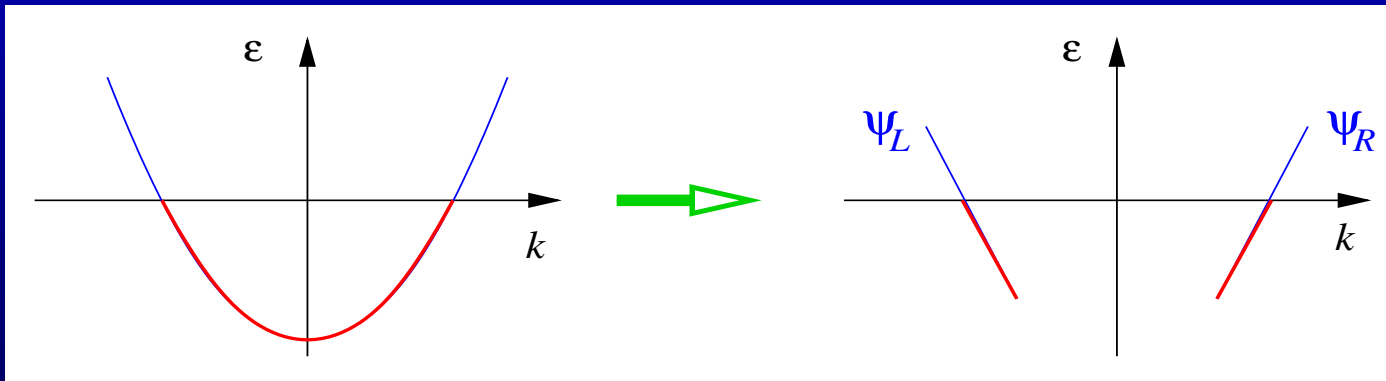
- carbon nanotubes, cleaved edge quantum wires



Description via “Bosonized” Hamiltonian:

$$H = v_F \left[\frac{g}{2} (\nabla \phi)^2 + \frac{1}{2g} (\nabla \theta)^2 \right], \quad \psi_{L/R} \sim e^{i(\phi \pm \theta)}.$$

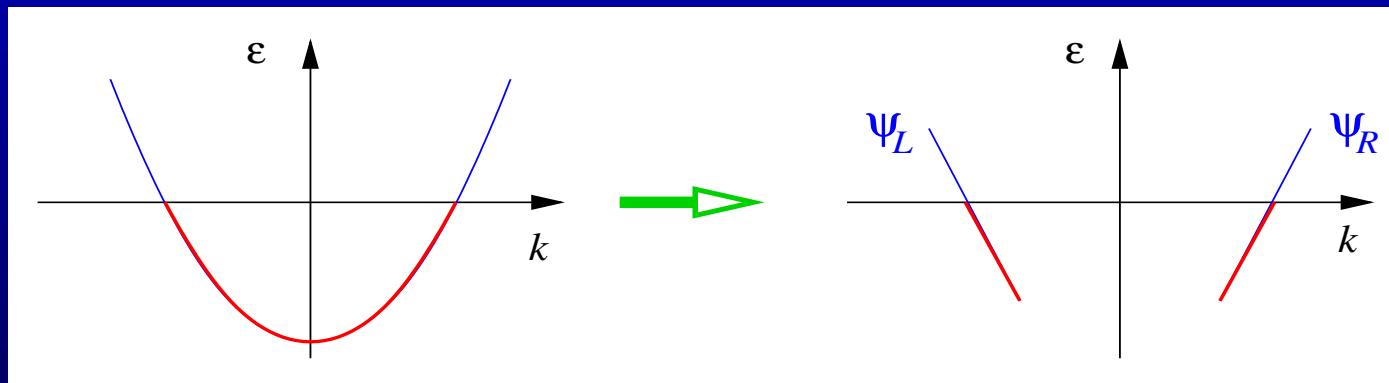
with g an **interaction parameter**; $g = 1$ for free electron gas while $g \neq 1$ when interactions present.



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→ **Luttinger liquid**

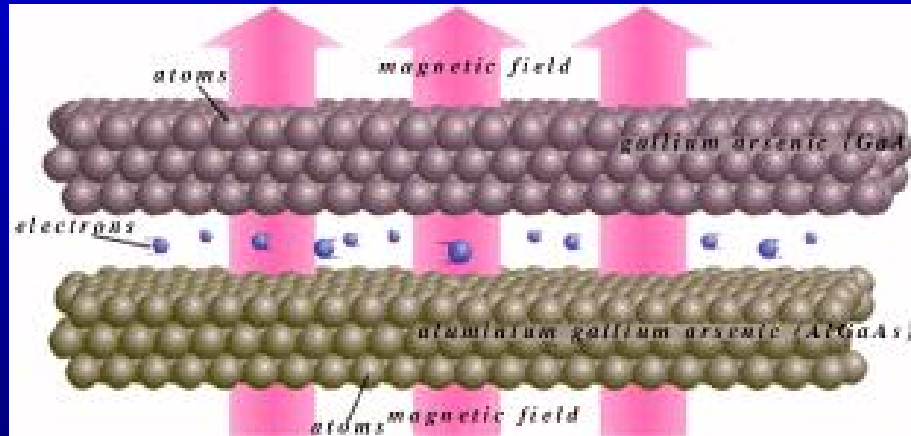
Electron correlations algebraic:

$$G(x, t) \approx (x - v_F t)^{-(g+g^{-1})/2}.$$

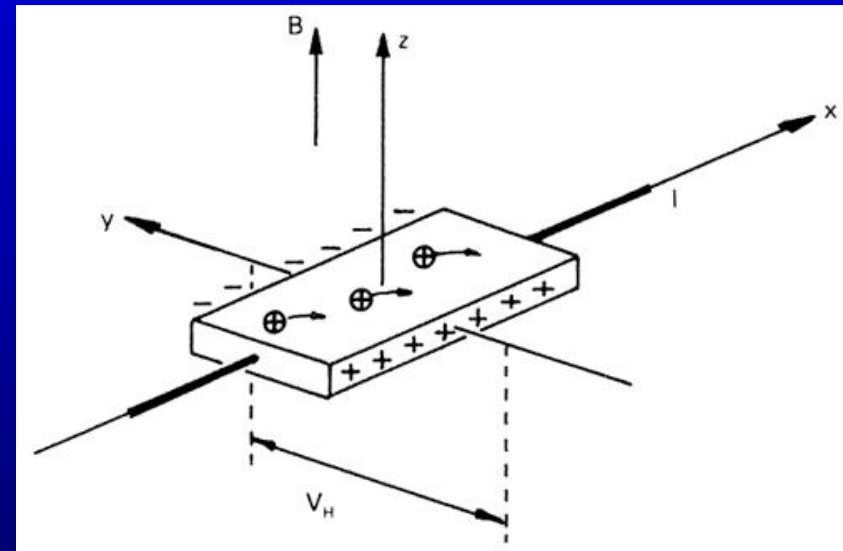
No sharp quasiparticles; $z_{\mathbf{k}} = 0$.

2D Quantum Hall Fluids

- 2D electron gas in strong magnetic field B .



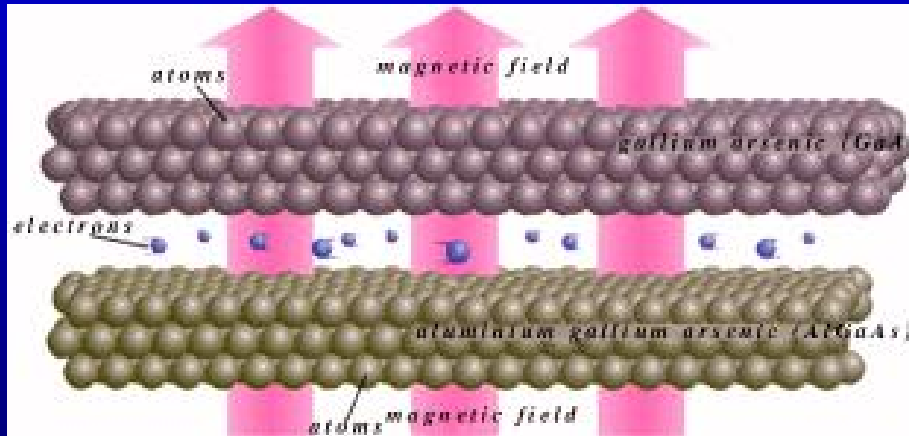
2D electron gas



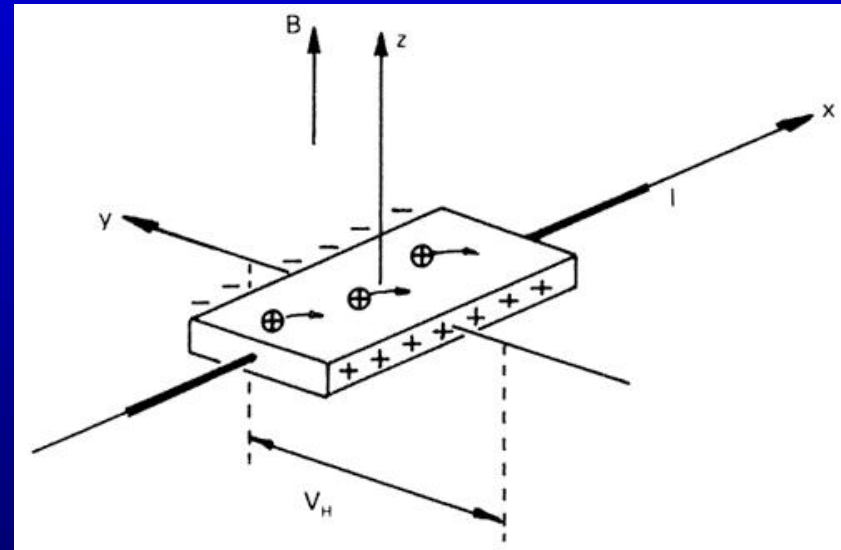
Experimental setup: "Hall effect geometry"

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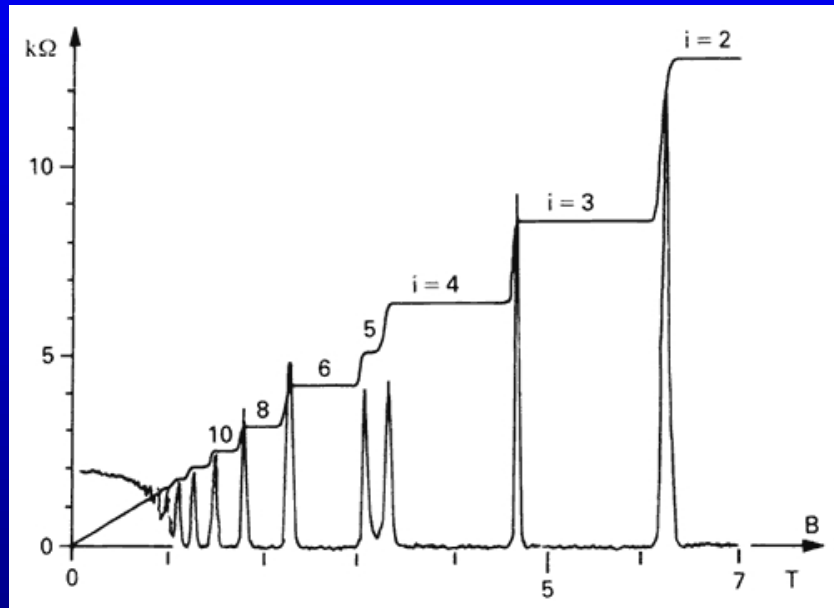


2D electron gas

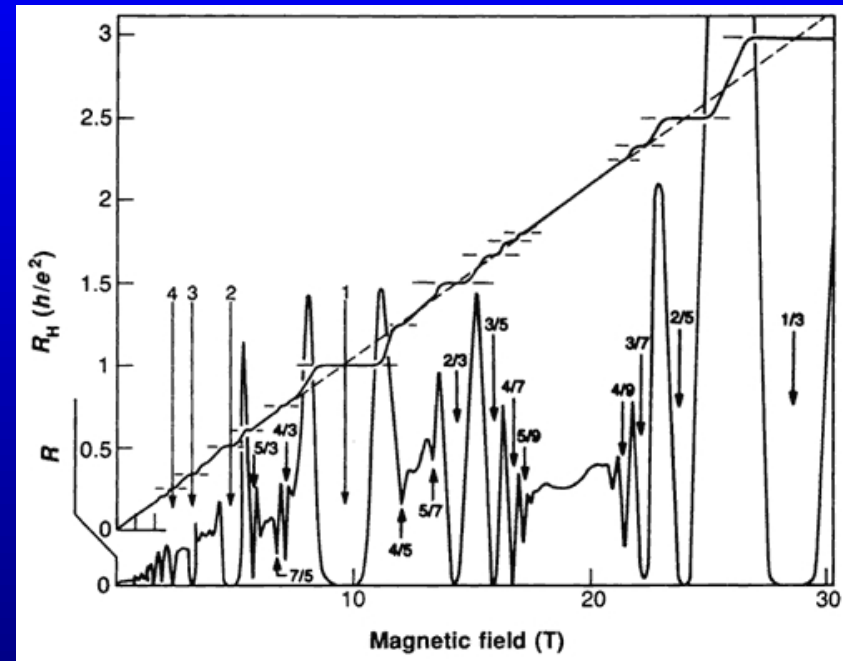


Experimental setup: "Hall effect geometry"

Classically, the magnetoresistance ρ_{xx} should be field independent while the Hall resistance ρ_{xy} proportional to B .



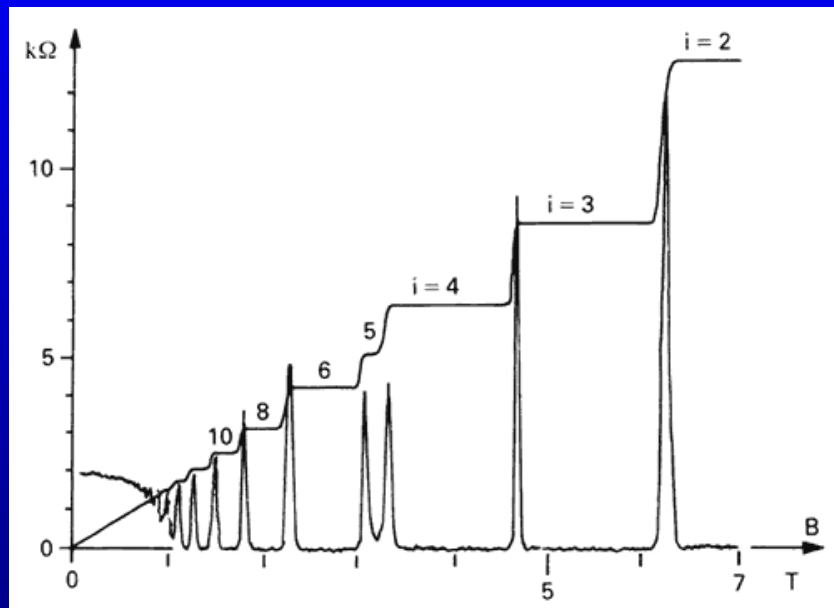
“integer” [von Klitzing, 1980]



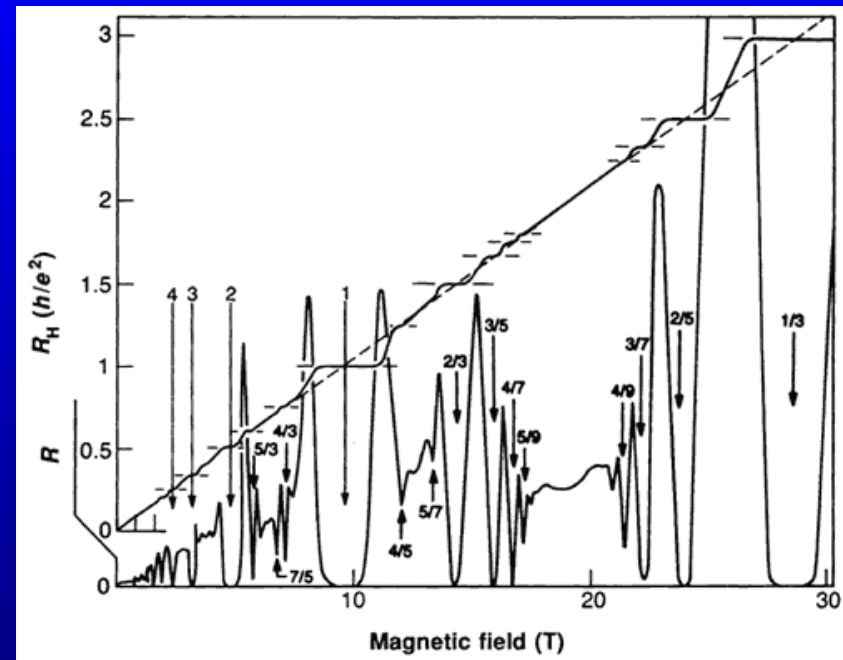
“fractional” [Tsui and Stormer, 1982]

The Hall resistance is **quantized**,

$$\rho_{xy} = \frac{\hbar}{ie^2}.$$



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In fractional QHE experiment indicates that elementary excitations carry **fractional charges**.

Laughlin's wavefunction ($z = x - iy$):

$$\psi_m(\{z\}) = \prod_{j < k}^N (z_j - z_k)^m \prod_{j=1}^N e^{-|z_j|^2/4\ell_0^2}$$



Bob Laughlin

Incompressible quantum fluid with **fractionally charged** elementary excitations ($q = e/m$, m being an inverse “filling fraction”).

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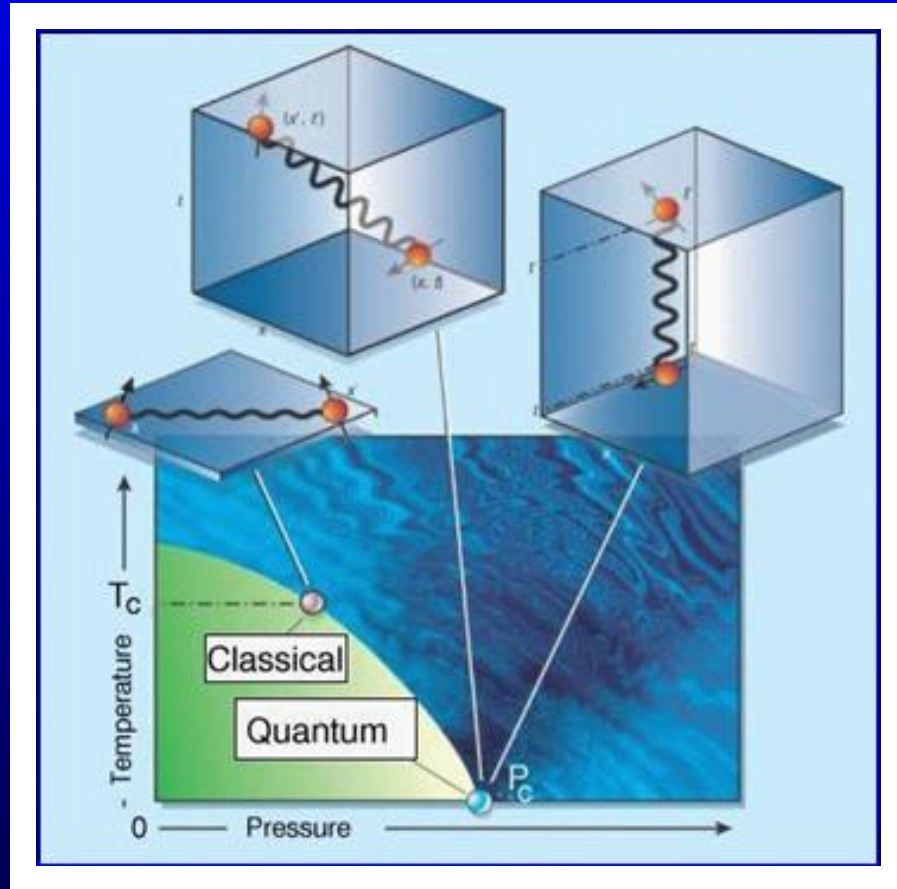


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Incompressible quantum fluid with **fractionally charged** elementary excitations ($q = e/m$, m being an inverse “filling fraction”).

This state of matter is not adiabatically deformable into a Fermi liquid.

Quantum criticality

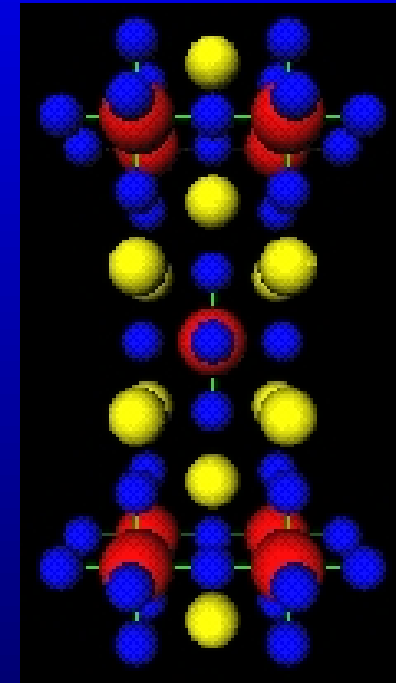


In the “quantum critical” region near a quantum phase transition electrons coupled to critical collective modes may exhibit **non-FL behavior** with algebraic long-distance correlations.

Quest for non-FL behavior in high- T_c cuprates

Experimental hints:

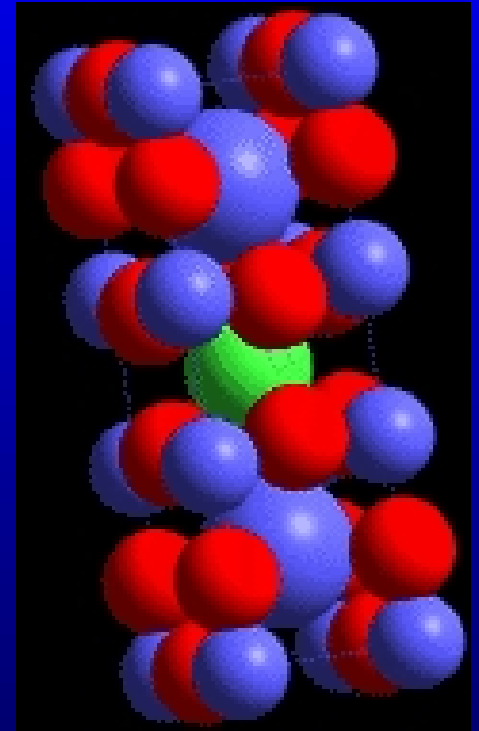
- DC resistivity in ab -plane: $\rho_{ab} \sim T$
- DC resistivity along c -axis: $\rho_c \sim 1/T$
- absence of sharp quasiparticles peaks seen by ARPES as STS
- and many other apparent deviations from FL orthodoxy



$\text{La}_{2-x}\text{Sr}_x\text{CuO}_4$

Candidate theoretical scenarios:

- Anderson's RVB theory
- various gauge field theories with spin-charge separation
- 1D stripe phases with Luttinger liquid physics
- anyon superconductivity
- competing orders
- order parameter phase fluctuations



$\text{YBa}_2\text{Cu}_3\text{O}_{7-x}$

Phase fluctuations in cuprates: QED_3 theory of the pseudogap state

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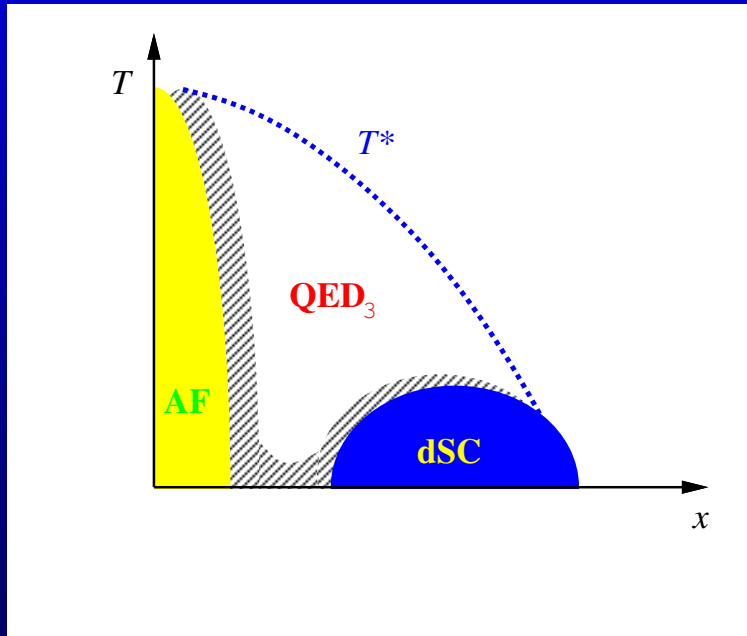
M. Franz, Z. Tesanovic, and O. Vafek

Phys. Rev. Lett. 87, 257003 (2001),

Phys. Rev. B 66, 054535 (2002)

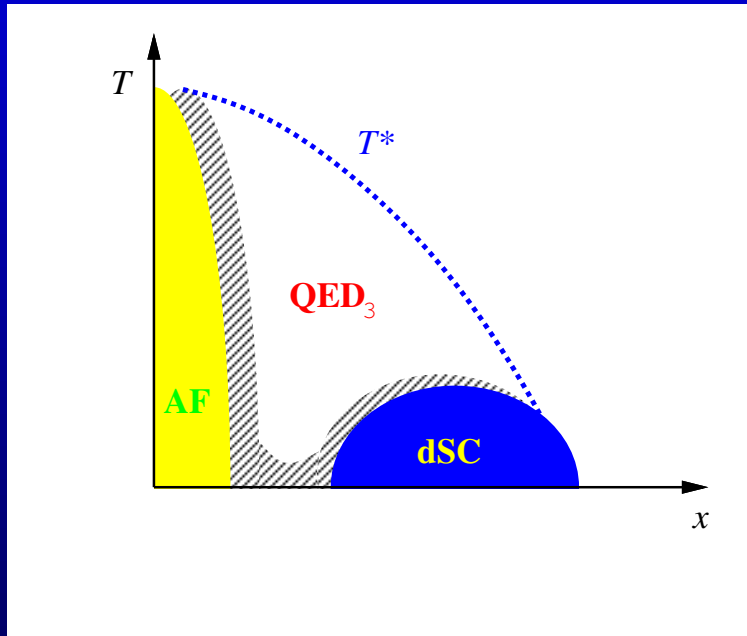
Two ways of destroying the SC order

SC order parameter is a complex scalar field: $\Delta(\mathbf{r}) = |\Delta(\mathbf{r})|e^{i\theta(\mathbf{r})}$.



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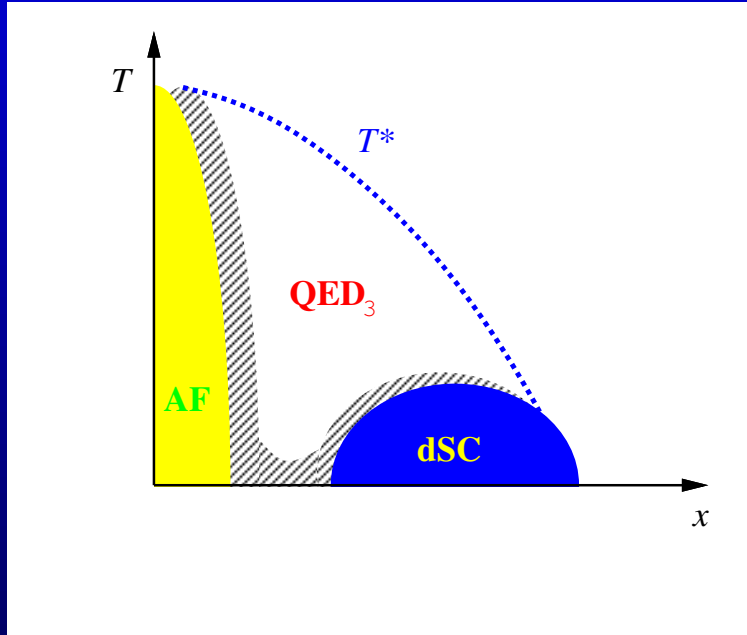
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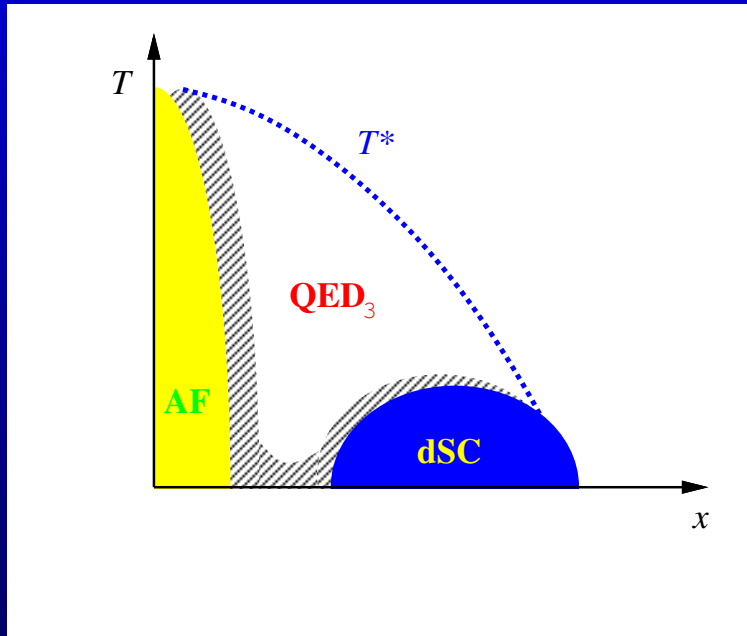
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Kosterlitz-Thouless “vortex-antivortex” unbinding transition with $T_c \sim \rho_s$, the superfluid density.

What is Vortex?

Vortices: from mundane to profound...

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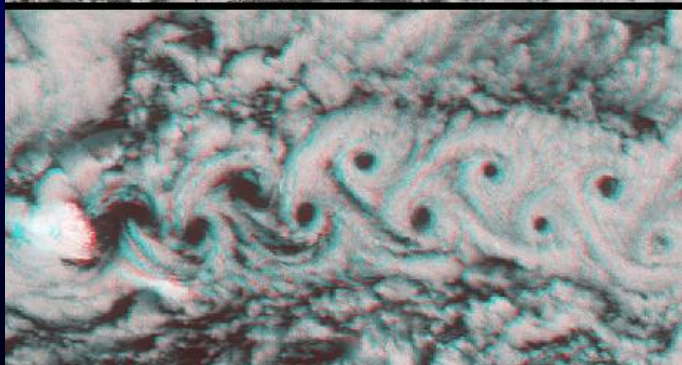
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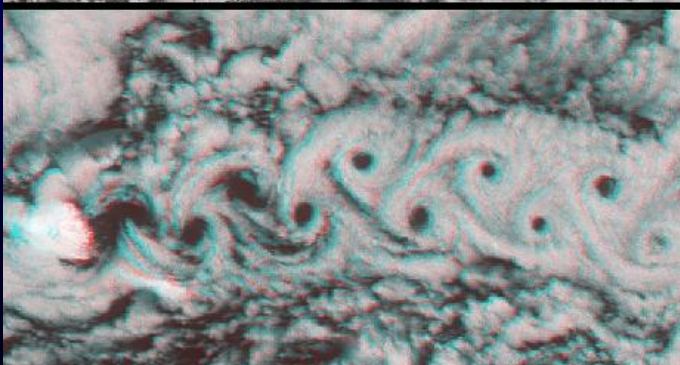
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NASA Wake Vortex Study at Wallops Island
NASA Langley Research Center 5/4/1990 Image # EL-1996-00130



Vortices in superconductors

Vortex is a *topological defect* in the SC order parameter, $\Delta(\mathbf{r}) = |\Delta(\mathbf{r})|e^{i\theta(\mathbf{r})}$.

The **phase** θ winds by 2π on encircling a vortex while the amplitude goes to zero at the vortex center, $|\Delta(r)| \rightarrow 0$.



A.A. Abrikosov

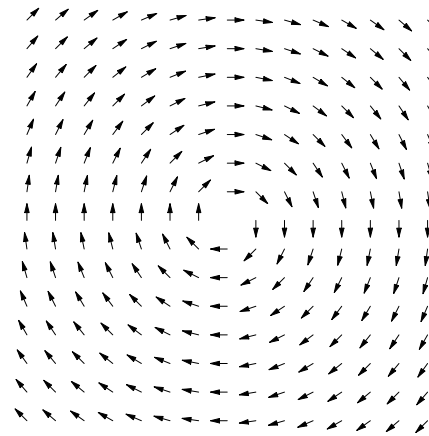
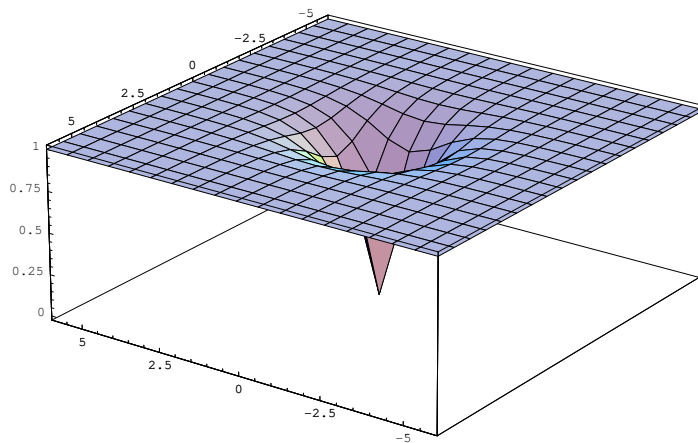
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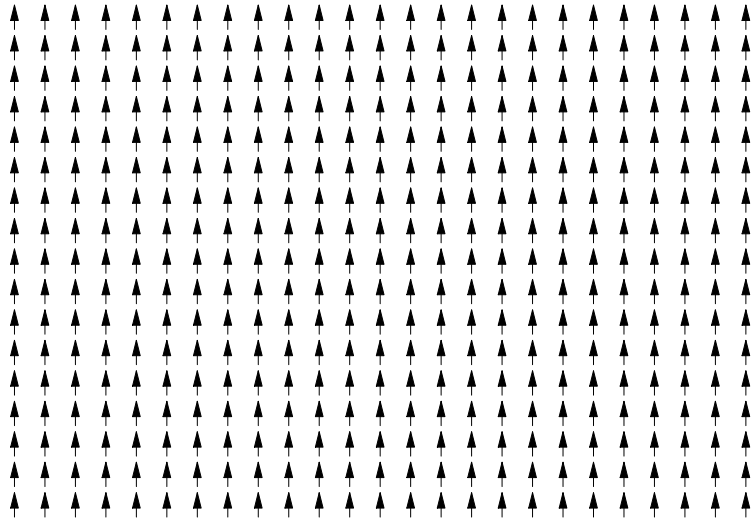
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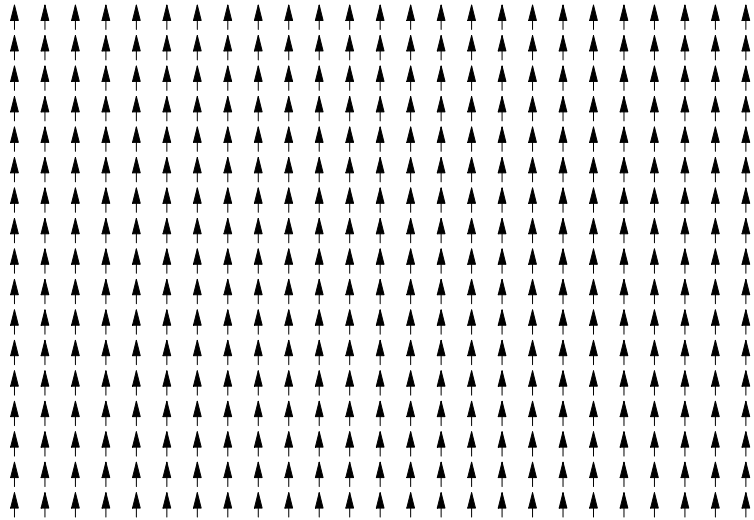


Vortex Pairs and Kosterlitz-Thouless transition

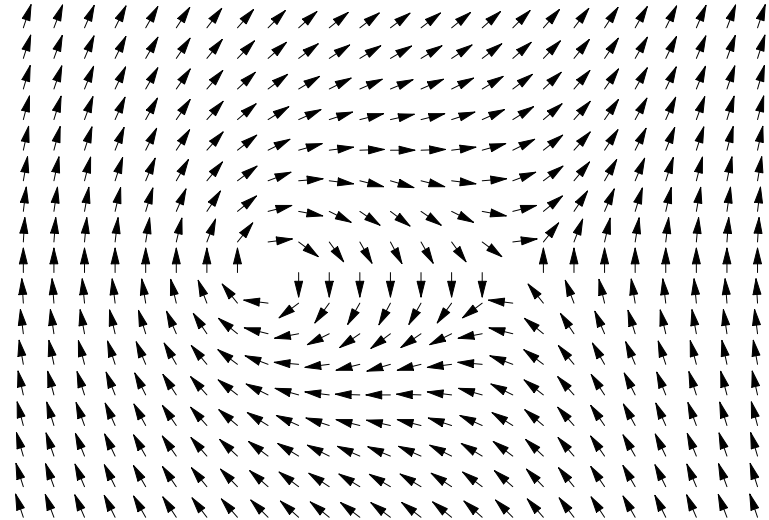


superconductor (vortex-free)

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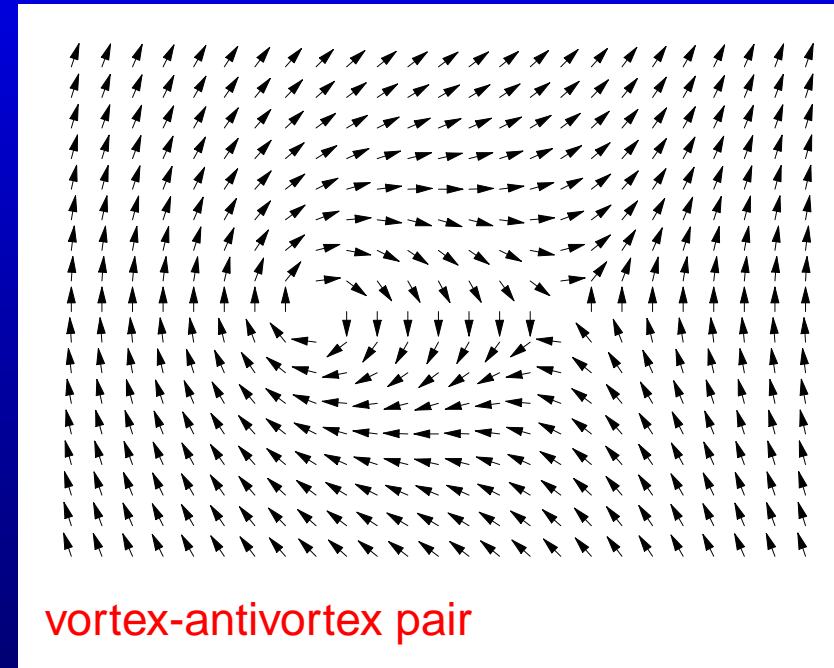
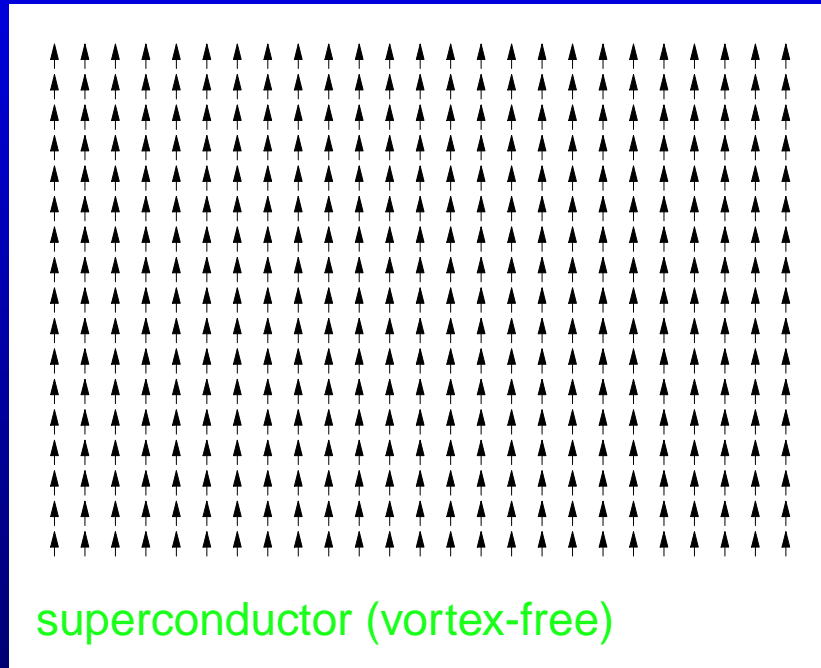


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vortex-antivortex pair

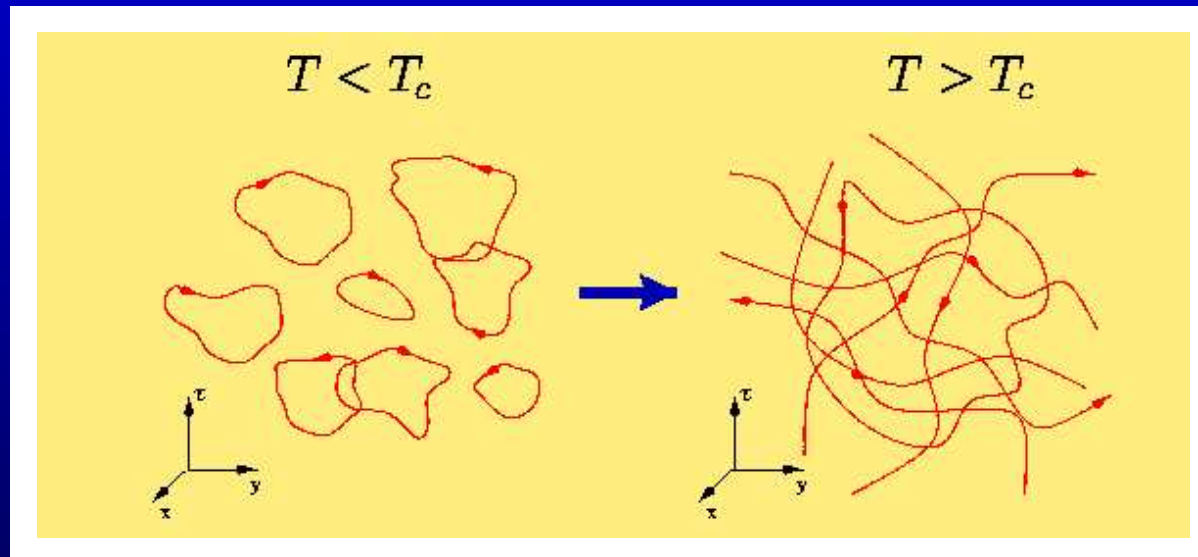
Vortex Pairs and Kosterlitz-Thouless transition



When vortex-antivortex pairs **unbind** the phase coherence is lost and superconductor **goes normal**.

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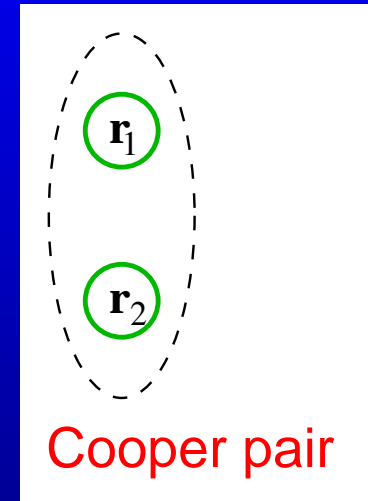
This transition is in the “3D XY” universality class.

d-wave superconductivity in cuprates

Superconducting order parameter is an anomalous average

$$\Delta(\mathbf{r}_1, \mathbf{r}_2) = \langle c_{\uparrow}(\mathbf{r}_1)c_{\downarrow}(\mathbf{r}_2) \rangle,$$

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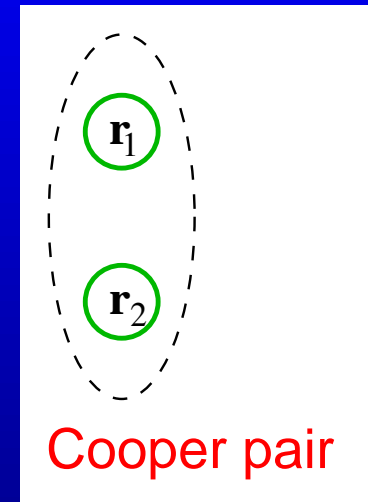


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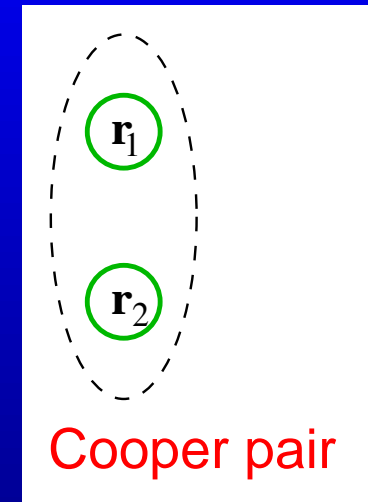
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$$l_z = 0, \pm 2, \pm 4, \dots$$

Most conventional superconductors have $l_z = 0$ (*s*-wave). There exist “unconventional” superconductors which exhibit *spin triplet* pairing or *spin singlet with higher angular momentum*.

Superconducting order parameter in cuprates exhibits *d*-wave symmetry

$$\Delta_{\mathbf{k}} = \Delta_0(\cos k_x - \cos k_y),$$

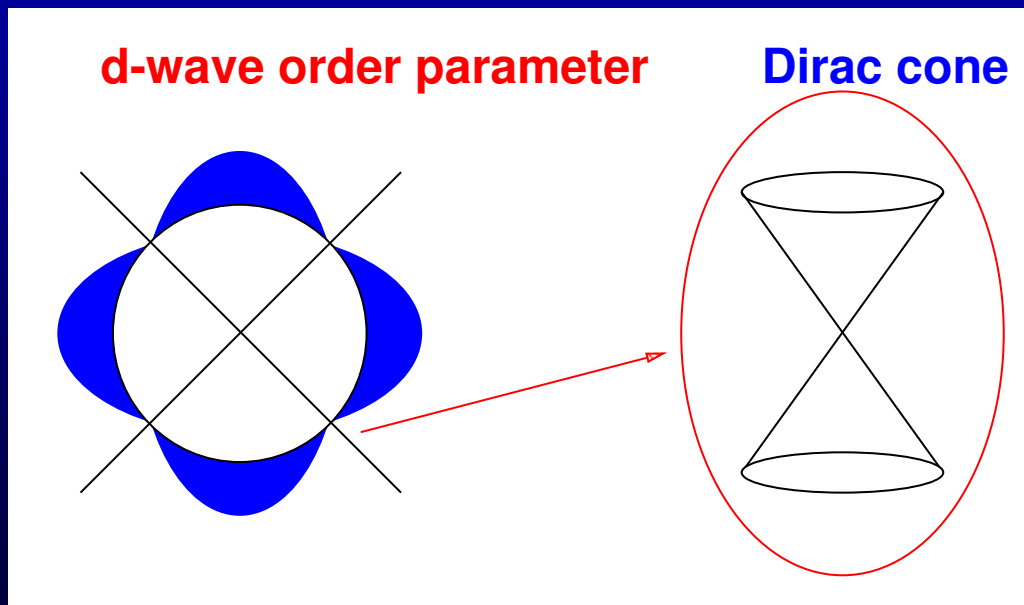
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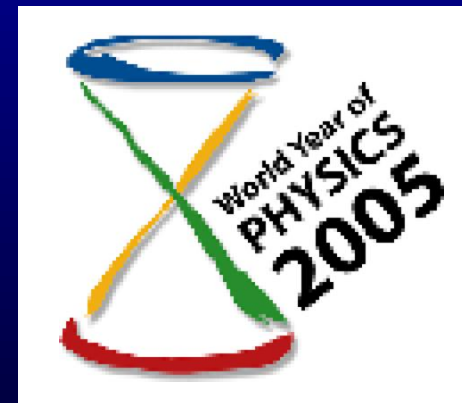
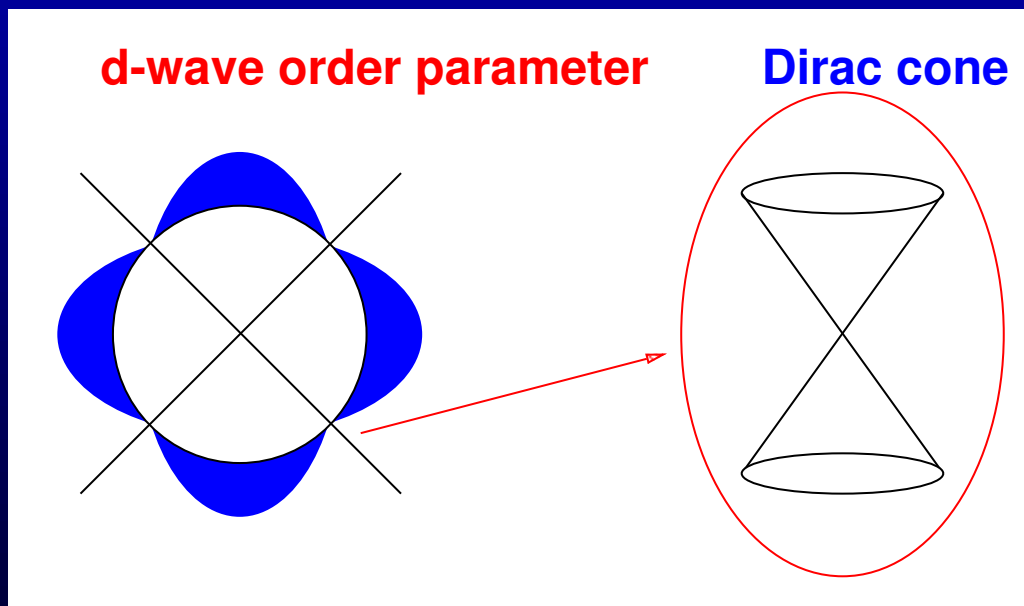
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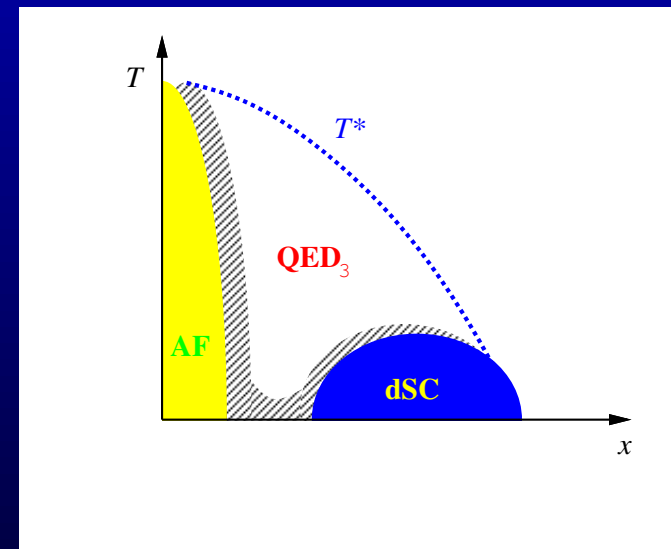
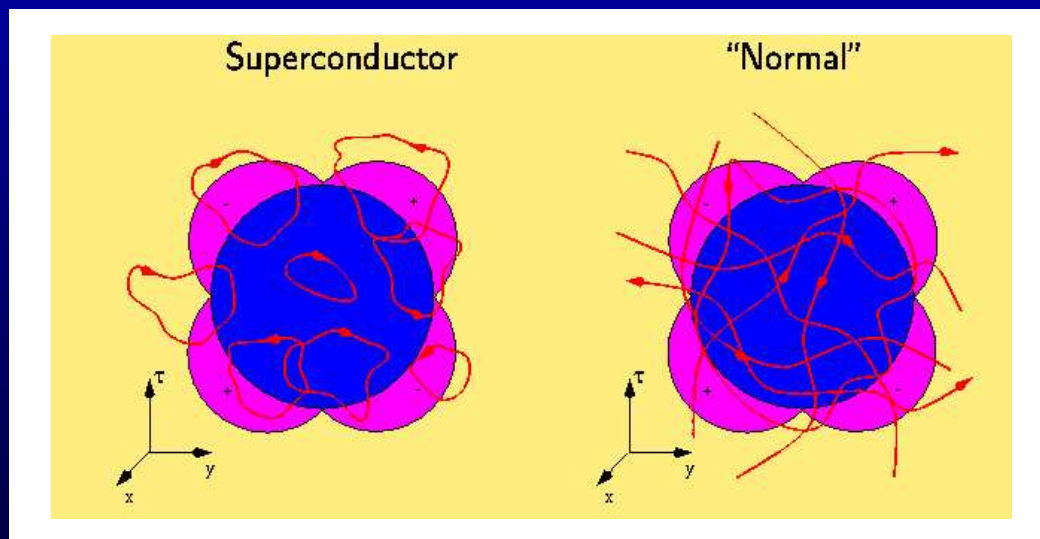
“Dirac Fermions”

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- Interesting fundamental theoretical problem.
- Possibly relevant to the pseudogap phase of cuprates.

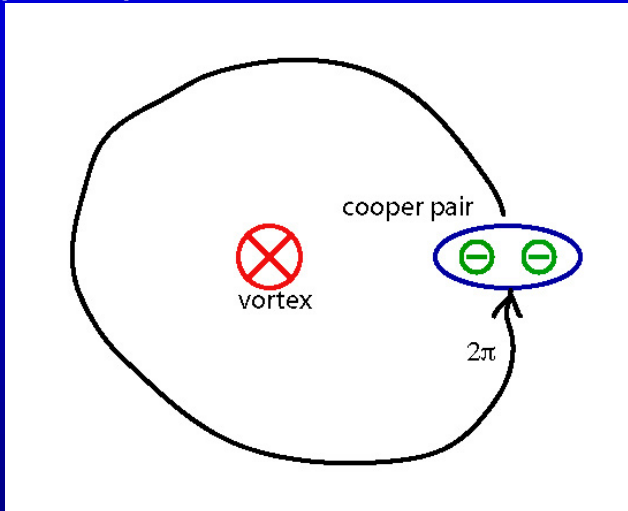
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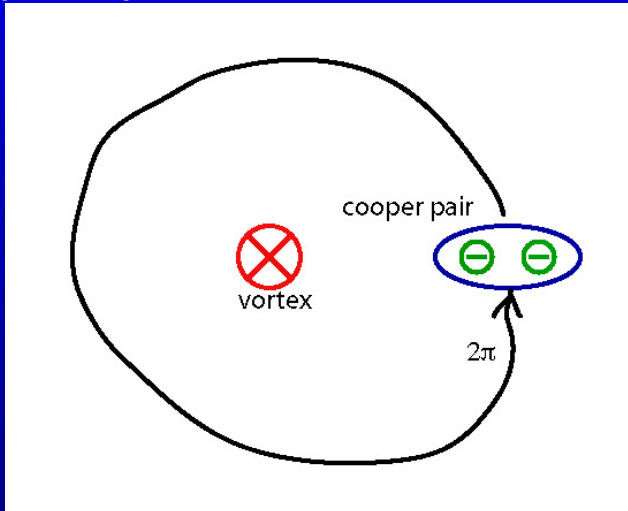
Electron-vortex interaction: tricky business

On encircling a vortex, a Cooper pair acquires phase 2π .

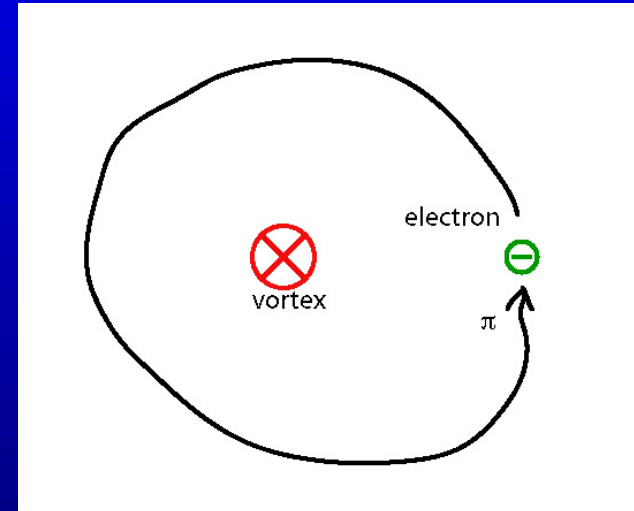


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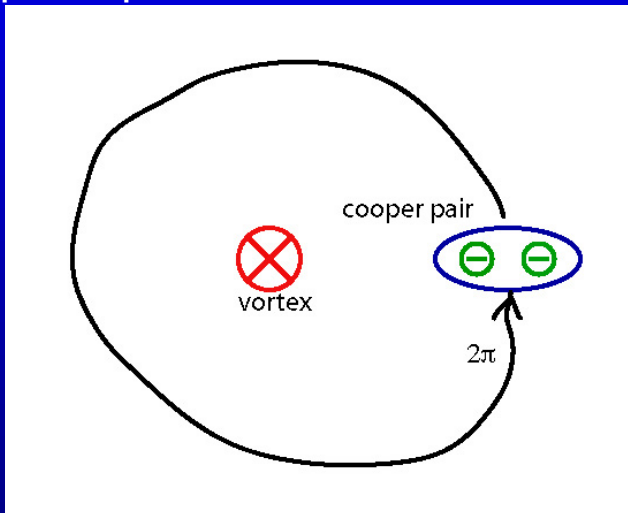


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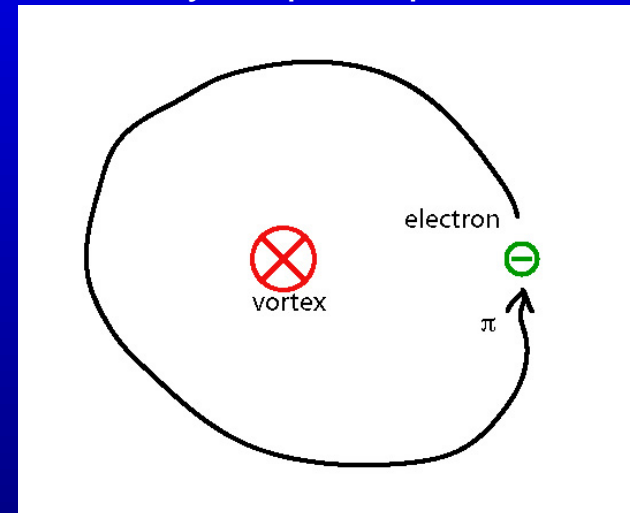


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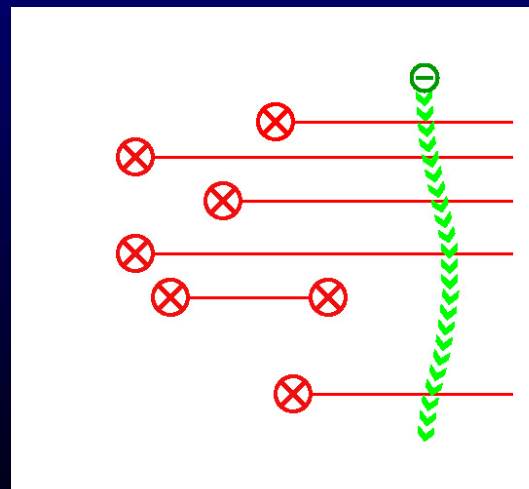
On encircling a vortex, a **Cooper pair** acquires phase 2π .



On encircling a vortex, a single **electron** only acquires phase π .



This results in **branch cuts** in electron wavefunction emanating from each vortex:



Solution: FT transformation

This problem has been tackled (ultimately without success) by a number of notable theorists:

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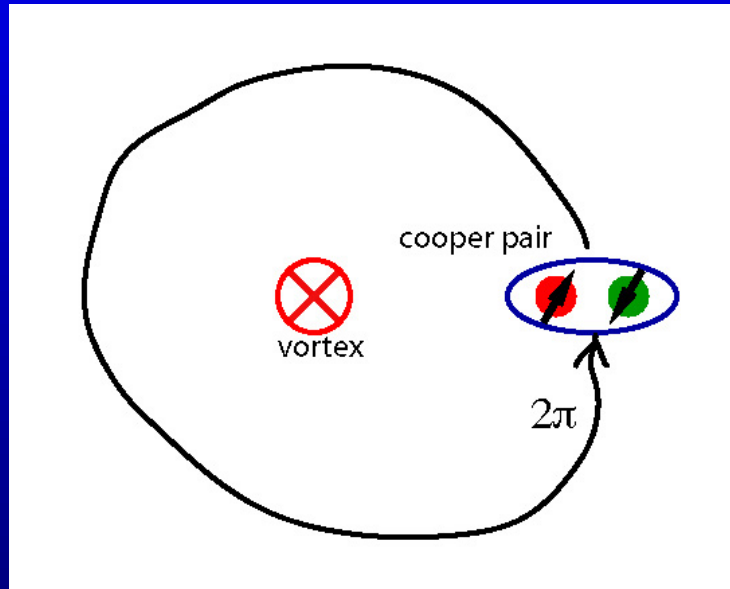
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Solved by a singular gauge transformation,

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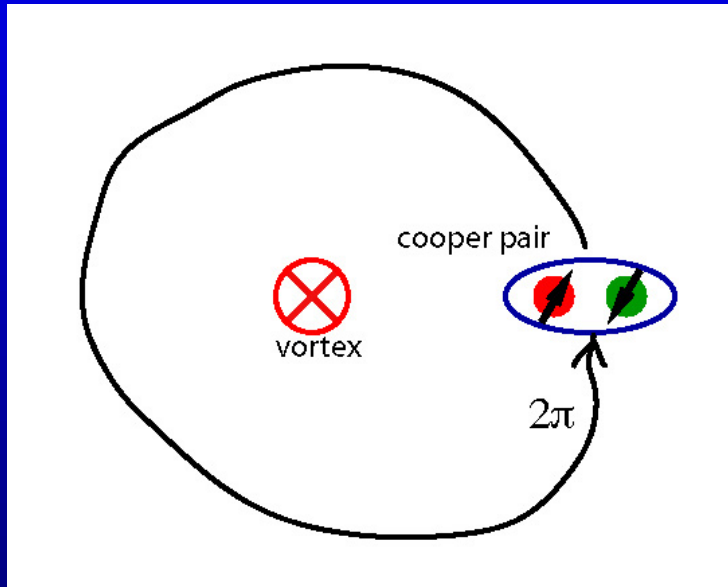
Sometimes referred to as “FT transformation”. Introduces a gauge field that describes the physics of the vortex branch cuts.

Physical essence of the FT transformation



A Cooper is a spin singlet. An alternative to assigning one half of the 2π phase to each electron is to **divide** vortices into two groups (say **red** and **green**) and let **spin up** electrons see only one while **spin down** electrons only the other kind.

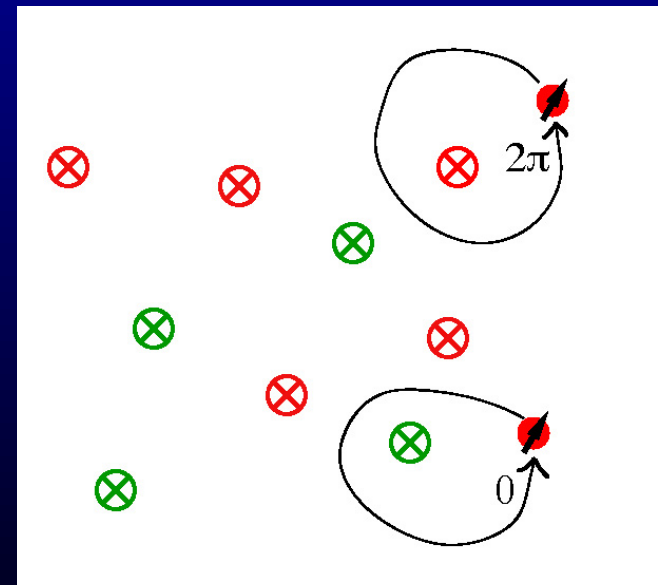
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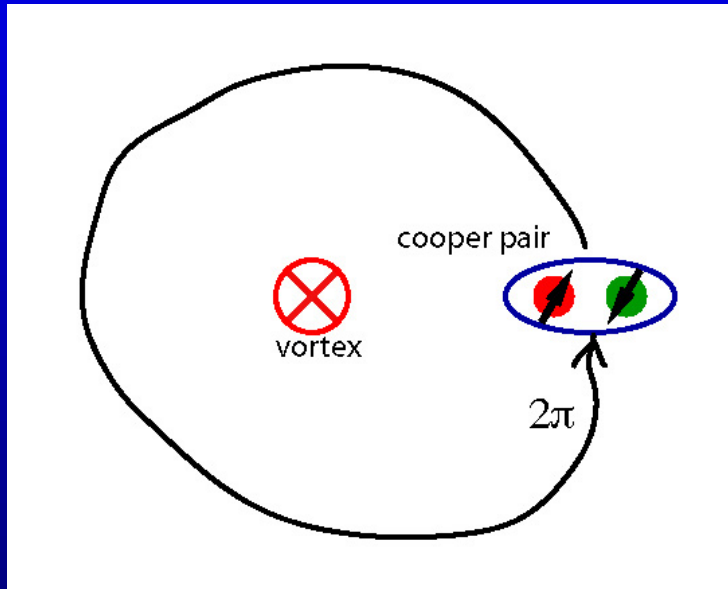
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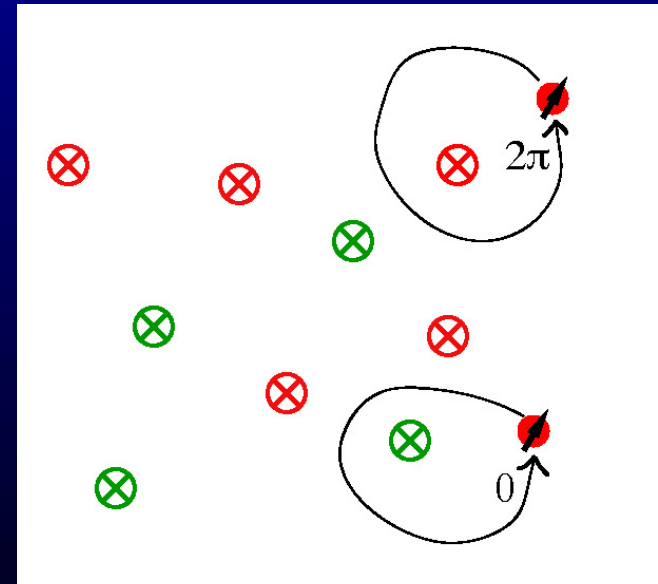
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- O. Vafek, A. Melikyan, M. Franz and Z. Tešanović Phys. Rev. B **63**, 134509 (2001).
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- M. Franz, Z. Teseanovic and O. Vafek, Phys. Rev. Lett. **87**, 257003 (2001).

QED₃: Quantum Electrodynamics in 2+1 Dimensions

At low energies and long lengthscales the d -wave quasiparticles coupled to fluctuating vortices are described by the effective Lagrangian

$$\mathcal{L} = \sum_{n=1}^N \bar{\Psi}_n \gamma_\mu (\partial_\mu - i a_\mu) \Psi_n + \mathcal{L}_v[a_\mu]$$

where $\Psi_n(x)$ is a 4-component spinor describing the n -th pair of nodes, a_μ is an emergent $U(1)$ gauge field that encodes the physics of the branch cuts residing on the fluctuating vortices.

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$$\mathcal{L}_v[a_\mu] = \begin{cases} \frac{1}{2} m_a a^2, & T < T_c \\ \frac{1}{2} \kappa_\mu (\partial \times a)_\mu^2, & T > T_c \end{cases}$$

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Pseudogap phase is described by QED₃ theory of $N = 2$ flavors of massless Dirac fermions minimally coupled to non-compact $U(1)$ massless gauge field.

Properties of QED₃

- Non-Fermi liquid “symmetric phase”

Electron propagator exhibits **anomalous dimension** $\nu = 8/3\pi^2 N$,

$$G(\omega, \mathbf{k}) = \frac{\omega + \tau_3 \epsilon_{\mathbf{k}}}{[\epsilon_{\mathbf{k}}^2 + \Delta_{\mathbf{k}}^2 - \omega^2]^{1-\nu/2}}.$$

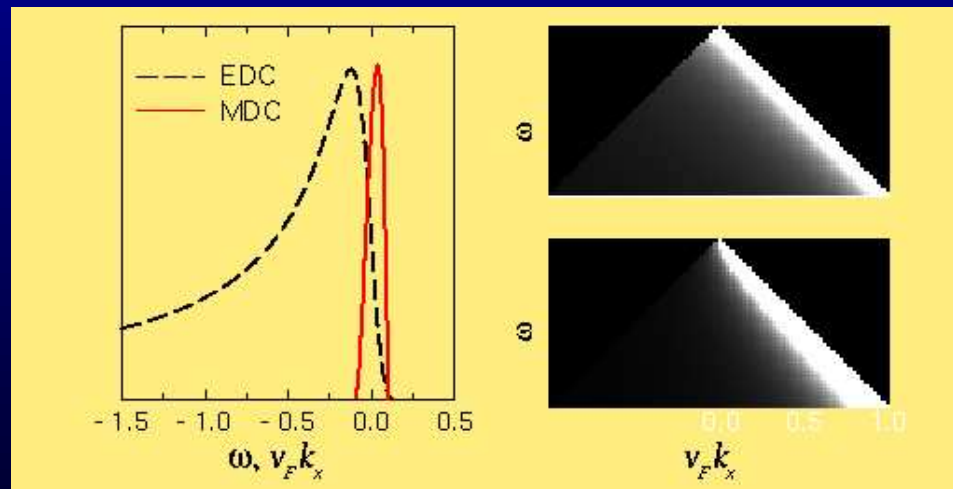
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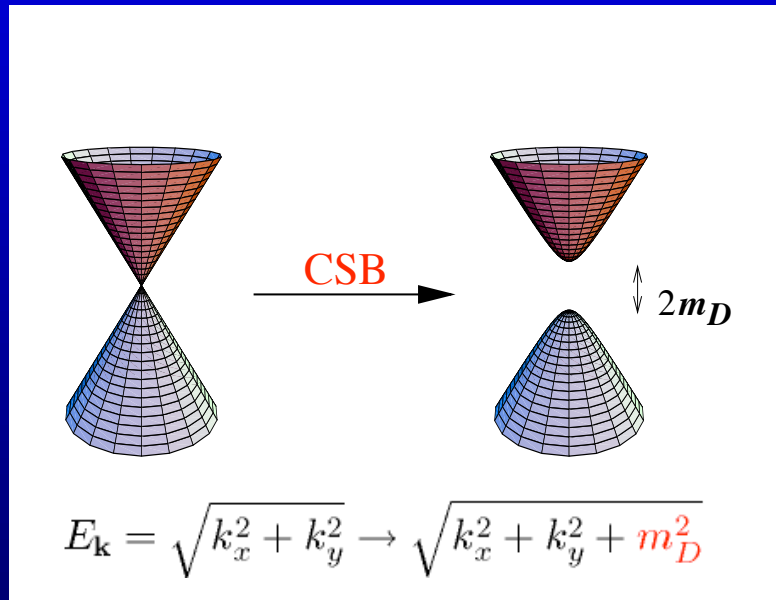
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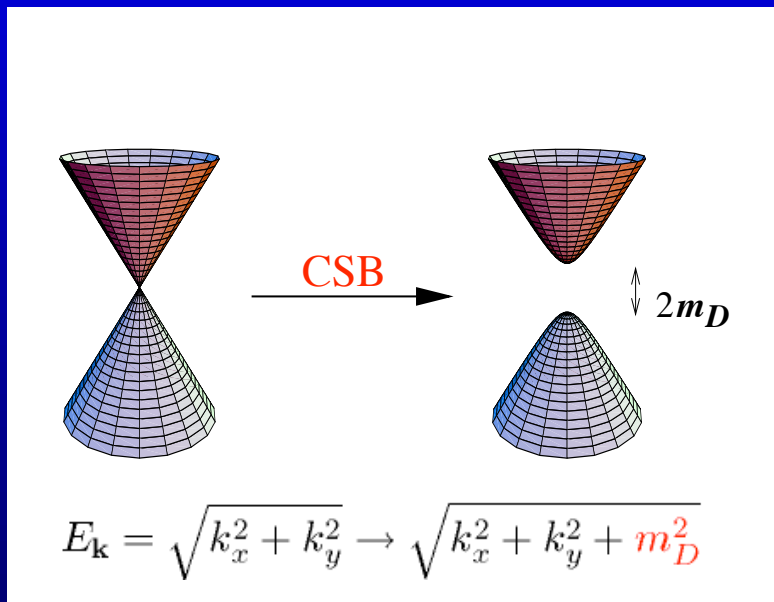
→ non-FL spectral function $A(\omega, \mathbf{k})$ with no poles:



- Phase in which **chiral symmetry** of QED_3 is spontaneously broken, giving rise to *dynamical mass generation*:

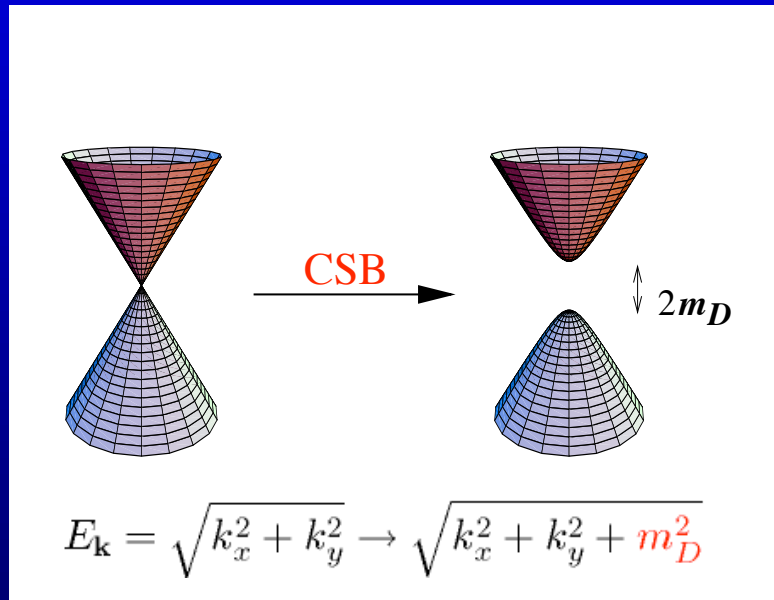


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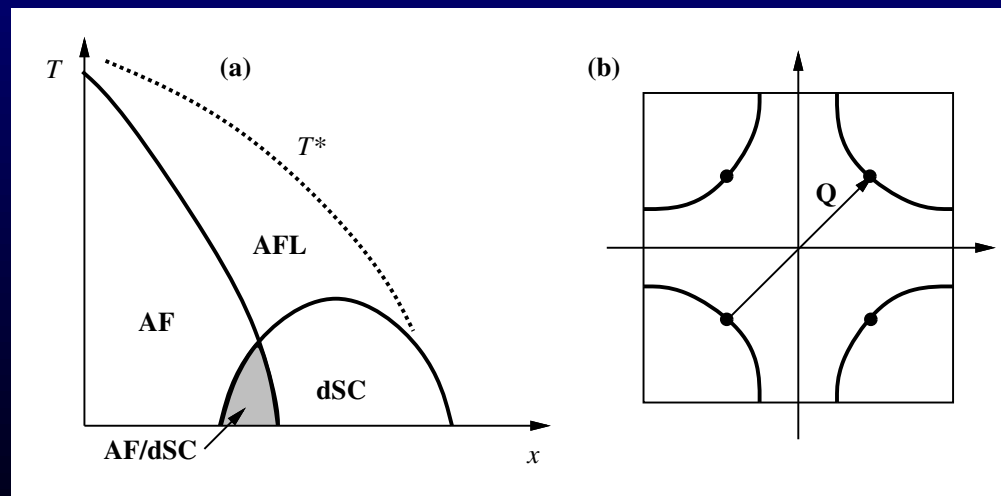


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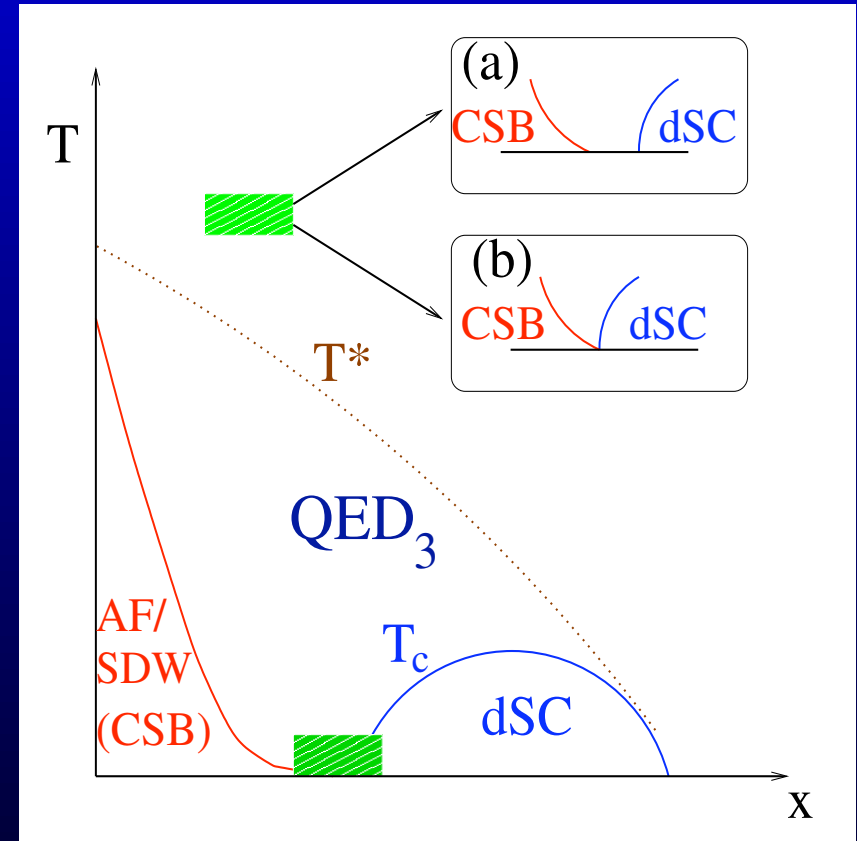
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- Non-Fermi liquid state of electronic matter in 2D (the “QED₃ symmetric phase”)
- A controlled way to reach **AF insulator** by phase-disordering a *d*-wave superconductor.



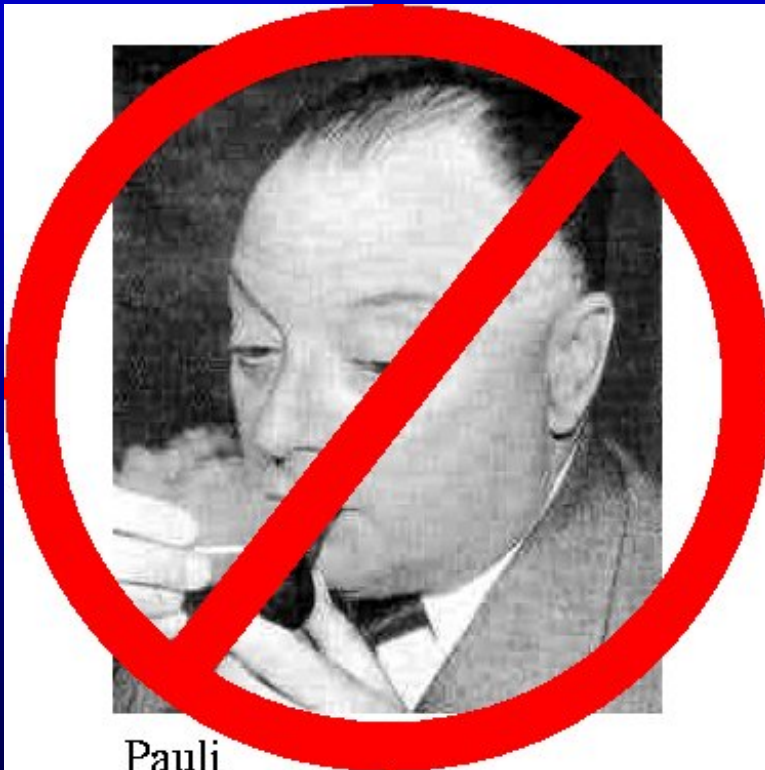
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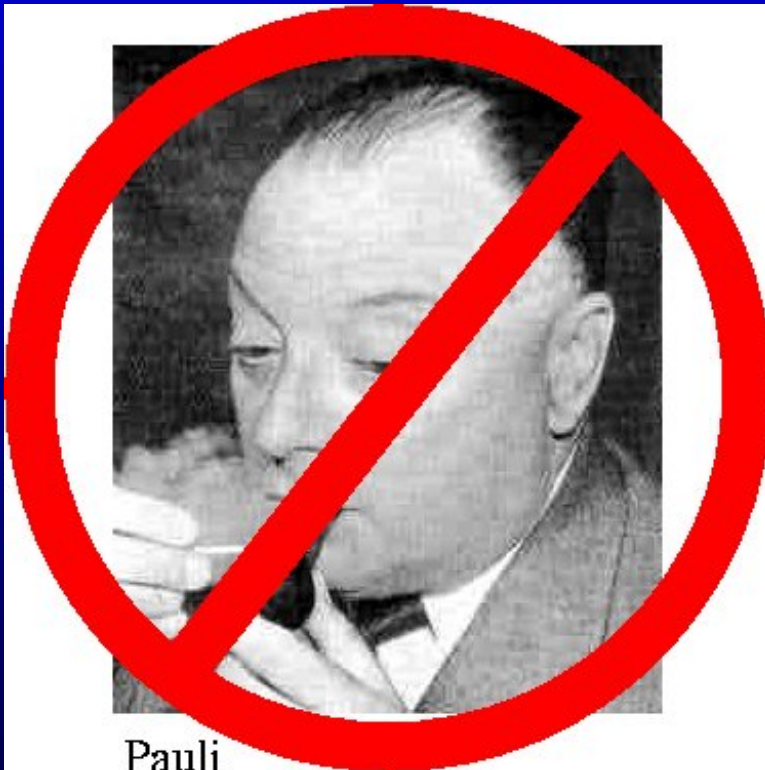
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