1. (20 points) Ground state of weakly interacting bosons.

a) The Bogoliubov transformation can be expressed as

$$\begin{pmatrix} \alpha_{\mathbf{k}} \\ \alpha^{\dagger}_{-\mathbf{k}} \end{pmatrix} = \begin{pmatrix} u_{\mathbf{k}} & -v_{\mathbf{k}} \\ -v_{\mathbf{k}} & u_{\mathbf{k}} \end{pmatrix} \begin{pmatrix} a_{\mathbf{k}} \\ a^{\dagger}_{-\mathbf{k}} \end{pmatrix},$$

with  $u_{\mathbf{k}}$  and  $v_{\mathbf{k}}$  real even functions of  $\mathbf{k}$ . Show that, with the condition  $u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2 = 1$ , the matrix  $U_{\mathbf{k}}$  in the above equation describes a symplectic (not unitary) transformation. Consult your favorite linear algebra book or Wikipedia for the definition of a symplectic matrix.

b) Find factors  $u_{\mathbf{k}}$  and  $v_{\mathbf{k}}$  required to bring the Bogoliubov Hamiltonian into the diagonal form  $H = \sum_{\mathbf{k}} \hbar \omega_{\mathbf{k}} \alpha_{\mathbf{k}}^{\dagger} \alpha_{\mathbf{k}}$ . To this end use substitution  $u_{\mathbf{k}} = \cosh \theta_{\mathbf{k}}$  and  $v_{\mathbf{k}} = \sinh \theta_{\mathbf{k}}$  which automatically assures  $u_{\mathbf{k}}^2 - v_{\mathbf{k}}^2 = 1$ . Then show that  $\hbar \omega_{\mathbf{k}} = \sqrt{\hbar^2 \Omega_{\mathbf{k}}^2 - \eta_{\mathbf{k}}^2}$  and

$$\tanh 2\theta_{\mathbf{k}} = -\frac{NV_{\mathbf{k}}}{\epsilon_{\mathbf{k}} + NV_{\mathbf{k}}}$$

c) The ground state  $|\Phi_0\rangle$  has the property

$$\alpha_{\mathbf{k}} | \Phi_0 \rangle = 0, \quad \text{for all } \mathbf{k}.$$
 (1)

Explain why this is so. Then show that the average number of bosons occupying a state with momentum  $\mathbf{k}$  in the ground state is

$$\bar{n}_{\mathbf{k}} \equiv \langle \Phi_0 | a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} | \Phi_0 \rangle = v_{\mathbf{k}}^2$$

Assuming constant  $V_{\mathbf{k}}$  sketch  $\bar{n}_{\mathbf{k}}$  versus  $|\mathbf{k}|$  and comment on the result.

d) Find the explicit expression for the ground state wavefunction  $|\Phi_0\rangle$ . This should be in a form  $\hat{O}|0\rangle$  where  $\hat{O}$  is an operator composed of  $a_{\mathbf{k}}^{\dagger}$ 's and  $|0\rangle$  is the vacuum state (no bosons). *Hint:* Note that Eq. (1) implies  $a_{\mathbf{k}}|\Phi_0\rangle = (v_{\mathbf{k}}/u_{\mathbf{k}})a_{-\mathbf{k}}^{\dagger}|\Phi_0\rangle$ . Consider  $\hat{O}$  of the form  $\Pi_{\mathbf{k}} \exp(z_{\mathbf{k}} a_{\mathbf{k}}^{\dagger} a_{-\mathbf{k}}^{\dagger})$ ; also note that  $[a, (a^{\dagger})^n] = n(a^{\dagger})^{n-1}$ .

2. (10 points) In the Bogoliubov model for liquid <sup>4</sup>He calculate the temperature dependence of the condensate density  $n_0 = N_0/\Omega$  at low *T*. Consider separately cases of short range ( $V_{\mathbf{q}} = V_0 = \text{const}$ ) and long range ( $V_{\mathbf{q}} = e^2/q^2$ ) interactions.

*Hints:* It is easiest to calculate the T dependence of the non-condensate density n'(T) first and then deduce  $n_0(T)$  from the requirement that

$$n_0(T) + n'(T) = n,$$

with  $n = N/\Omega$  the total density which remains constant. Write  $n'(T) = \Omega^{-1} \sum_{\mathbf{k}} \langle a_{\mathbf{k}}^{\dagger} a_{\mathbf{k}} \rangle$ , then express it in terms of  $\alpha_{\mathbf{k}}$  operators and use the fact that the Hamiltonian is diagonal in these to evaluate the average. Assume that temperature is low enough so that  $\mu \approx 0$ . Extract the leading *T*-dependence of n'(T) - n'(0) by neglecting all temperature dependence of the integrand except that coming from the Bose occupation factor and using the small k approximation for  $\omega_{\mathbf{k}}$ . Once you have the result check that this is in fact a reasonable approximation.

3. (20 points) Consider a simple cubic lattice with spacing a in dimension d, comprised of ions of mass M interacting via nearest neighbor harmonic potential with spring constant K. Answer the questions (a-d) below for d = 1, 2, 3 (in most cases a simple answer in terms of d emerges, e.g.  $T^{d-1}$ ).

a) Calculate the exact phonon spectrum  $\omega_{\mathbf{k}\mu}$  and verify that for long wavelengths one obtains  $\omega_{\mathbf{k}\mu} \simeq c|\mathbf{k}|$ . Determine the sound velocity c.

b) In the above long wavelength limit calculate the phonon density of states  $D(\omega)$  and deduce the *T*-dependence of the specific heat at low temperatures.

c) Propose the form of Debye approximation for this crystal suitable for various dimensions and determine  $\Theta_D$  in terms of M, K and particle number N.

d) According to the Lindemann criterion the lattice melts when the root-mean-square fluctuation of the ion position reaches a significant fraction of the lattice constant:  $\sqrt{\langle \mathbf{u}^2 \rangle} = c_L a$ , where  $c_L = 0.10 - 0.15$  is the Lindemann number. Calculate the *T*-dependence of  $\langle \mathbf{u}^2 \rangle$  for our crystal and determine its melting temperature  $T_M$ . What does this imply for the stability of low-dimensional crystals?

e) Polonium metal has cubic structure with lattice constant a = 3.34Å, atomic mass number 209 and melting point  $T_M = 527$ K. Based on this information estimate its spring constant K, Debye temperature  $\Theta_D$ , sound velocity c (all in physical units) and comment on these results. *Note:* Isotopes of Polonium are rare, radioactive, and dangerous to human health. Don't try to verify these results at home!